



PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: John D. Robinson
APPLICATION NO.: 10/828,500
FILING DATE: April 21, 2004
TITLE: Filtering and Managing Electronic Mail
EXAMINER: Unknown
GROUP ART UNIT: 2155
ATTY. DKT. NO.: 58020-00601

MAIL STOP MISSING PARTS
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated June 30, 2004 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration & Power of Attorney;
- ☒ two checks totaling \$701 for the
 - ☒ application filing fee;
 - ☒ fee for additional claims;

09/27/2004 HLE333 00000045 10828500

06 FC:2251

55.00 0P

☒ missing parts surcharge;

☒ one-month extension of time; and

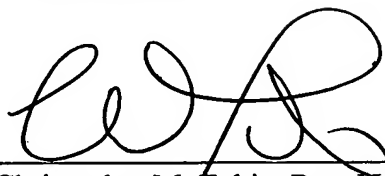
☒ Assignment.

☒ Other: Assignment & Assignment Recordation Sheet

☒ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
JOHN D. ROBINSON

Dated: Sept. 24, 2004

By: 
Christopher M. Tobin, Reg. No.: 40,290
Collier Shannon Scott PLLC
3050 K Street NW, Suite 400
Washington, D.C. 20007
Tel.: (202) 342-8508
Fax: (202) 342-8451

702114



Collier Shannon Scott

September 24, 2004

Collier Shannon Scott, PLLC
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Christopher M. Tobin
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CTobin@colliershannon.com

Commissioner for Patents
Mail Stop Missing Parts
P.O. Box 1450
Alexandria, VA 22313-1450

Re: U.S. Patent Application No. 10/828,500
Title: Filtering & Managing Electronic Mail
Filing Date: April 21, 2004
Inventor: John D. Robinson
Our Reference: 58020-00601

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office in connection with the above-referenced U.S. patent application are the following documents:

- (1) Response to Notice to File Missing Parts of Application (2 pages);
- (2) Copy of Notice to File Missing Parts of Application (2 pages);
- (3) Combined Declaration & Power of Attorney (2 pages);
- (4) Fee Transmittal in duplicate (2 pages);
- (5) Assignment and Assignment Recordation (3 pages); and
- (6) Two checks totaling \$701

Please date-stamp the enclosed copy of this letter, thereby acknowledging receipt of the above-identified documents.

Sincerely yours,

CHRISTOPHER M. TOBIN, Reg. No. 40,290

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/828,500	04/21/2004	John D. Robinson	58020-00601

25243
 COLLIER SHANNON SCOTT, PLLC
 3050 K STREET, NW
 SUITE 400
 WASHINGTON, DC 20007

CONFIRMATION NO. 4294

FORMALITIES LETTER



OC000000013112942

Date Mailed: 06/30/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/27/2004 HLE333 00000045 10828500

01 FC:2001	385.00 OP
02 FC:2201	129.00 OP
03 FC:2202	27.00 OP
04 FC:2051	65.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$312 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1212 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$312

DOCKETED

Date 7/13/04
 By [Signature]

- \$258 for 3 independent claims over 3.
- \$54 for 3 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
		Application Number	10/828,500
		Filing Date	April 21, 2004
		First Named Inventor	John D. Robinson
		Group Art Unit	2155
		Examiner Name	Unknown
Attorney Docket Number		58020-00601	

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **\$ 701**

METHOD OF PAYMENT	FEE CALCULATION (continued)
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1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.¹
- ☒ Applicant claims small entity status See 37 CFR 1.27

Deposit Account Number: 03-2469
Deposit Account Name: COLLIER SHANNON SCOTT

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ 2 checks ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2001)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$770	201/\$385	Utility Filing	385
106/\$340	206/\$170	Design Filing	
108/\$770	208/\$385	Reissue	
114/\$160	214/\$80	Provisional Filing	
SUBTOTAL (1)			(\$) 385

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20
102/\$86	202/\$43	Independent claims in excess of 3
104/\$290	204/\$145	Multiple dependent claim
109/\$86	209/\$43	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/\$130	205/\$65	Surcharge - late filing fee or oath	65
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	
147/\$2,520	147/\$2,520	For filing a request for reexamination	
115/\$110	215/\$55	Extension for response within first month ¹	55
116/\$420	216/\$210	Extension for response within second month ¹	
117/\$950	217/\$475	Extension for response within third month ¹	
118/\$1,480	218/\$740	Extension for response within fourth month ¹	
128/\$2,010	228/\$1,005	Extension for response within fifth month ¹	
119/\$330	219/\$165	Notice of Appeal	
141/\$1,330	241/\$665	Petition to revive unintentionally abandoned application	
142/\$1,330	242/\$665	Utility Issue Fee (Or Reissue)	
143/\$480	243/\$240	Design Issue Fee	
122/\$130	122/\$130	Petitions to the Commissioner	
126/\$180	126/\$180	Submission of Information Disclosure Statement	
179/\$770	279/\$385	Request for Continued Examination (RCE)	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	40
146/\$770	246/\$385	Filing a submission after final rejection (37 CFR 1.129(a))	
149/\$770	249/\$385	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			\$ 160

(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due	
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x		=	
TOTAL	23	minus*	20 or	=	3	x	9	=	27
INDEP	6	minus*	3 or	=	3	x	43	=	129
[] First presentation of multiple dependent claim									

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$) 156

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Christopher M. Tobin	Reg. Number	40,290
Signature		Date	September 24, 2004

¹ Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby